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ALEMAN S	Application Number	10/695,534
TRANSMITTAL	Filing Date	October 28, 2003
FORM	First Named Inventor	Hiroyuki Tomita
be used for all correspondence after initial filing)	Art Unit	3749
	Examiner Name	unknown
Number of Pages in This Submission	Attorney Docket Number	4041J-000790

ENCLOSURES (check all that apply)					
Fee Transmittal Form		☐ Drawing(s)		After Group	Allowance Communication to
Fee Attached		Licensing-related Papers			al Communication to Board of als and Interferences
Amendment / Reply		Petition			al Communication to Group al Notice, Brief, Reply Brief)
After Final		Petition to 0	Convert to a Application	Propr	ietary Information
Affidavits/dec	laration(s)		ttorney, Revocation Correspondence Address	Status	s Letter
Extension of Time	Request	Terminal Di	isclaimer		r Enclosure(s) e identify below):
	_	Request for	r Refund		opies of cited references
Express Abandon	ment Request	CD, Numbe	er of CD(s)	including Gt. Britain Search Report dated 3/19/2004	
Certified Copy of Priority Document(s)		Remarks  The Commisioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.			
Response to Missing Parts/ Incomplete Application			,		
Response to Missing Parts under 37 CFR 1.52 or 1.53					
	SIGNA	TURE OF APP	LICANT, ATTORNEY, OI	R AGENT	
Firm or Individual name  Harness, Dickey & Pie		Attorney Name H. Keith Miller, Esq.		1	Reg. No. 2,484
Signature		Molde		-	
Date	May 17, 2004				
	C	ERTIFICATE O	OF TRANSMISSION/MAIL	ING	

CENTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	H. Keith Miller, Esg.	Express Mail Label No.	EV 406 075 453 US
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# **PATENT**



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/695,534

Filing Date:

October 28, 2003

Applicant:

Hiroyuki TOMITA

Group Art Unit:

3749

Examiner:

unknown

Title:

Vehicle Air Conditioner

Attorney Docket:

4041J-000790

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

# I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

## II. COPIES

A.	<u>X</u>	Submitted	herewith	is a	legible	сору	of (i)	each	U.S.	patent	application
pub	lication	and U.S. a	ınd foreign	pater	nt; (ii) ea	ach pu	blication	on or th	nat po	rtion wh	nich caused
it to	be lis	ted; (iii) for	each cite	d pen	ding U.	S. app	licatio	n, the	applio	ation s	pecification
incl	uding t	the claims,	and any	drawir	ng of th	e app	licatior	n whic	h cau	sed it t	o be listed
incl	uding t	he claims d	irected to	that p	ortion;	and (iv	) all of	ther in	forma	tion or	that portion
whi	ch cau	sed it to be	listed.	•		,	•				•

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

#### U.S. Serial Number

U.S. Filing Date

C.\_\_\_\_ Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i).

are enclosed herewith. D.\_\_\_\_ This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g)) CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required). B.\_\_\_\_ A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)): See the attached foreign patent office communication from a counterpart foreign application: English translations of the abstracts are provided for the Japanese references. 3. Other: C. \_\_\_\_The following additional information is provided for the Examiner's consideration. CROSS REFERENCE TO RELATED APPLICATION(S) A.\_\_\_\_ The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122. Serial No. Filing Date **Art Unit** THIS IDS IS BEING FILED UNDER A. X 37 C.F.R. § 1.97(b): (check only one box) within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required. before the mailing of a first Office Action on the merits (37 C.F.R. §

III.

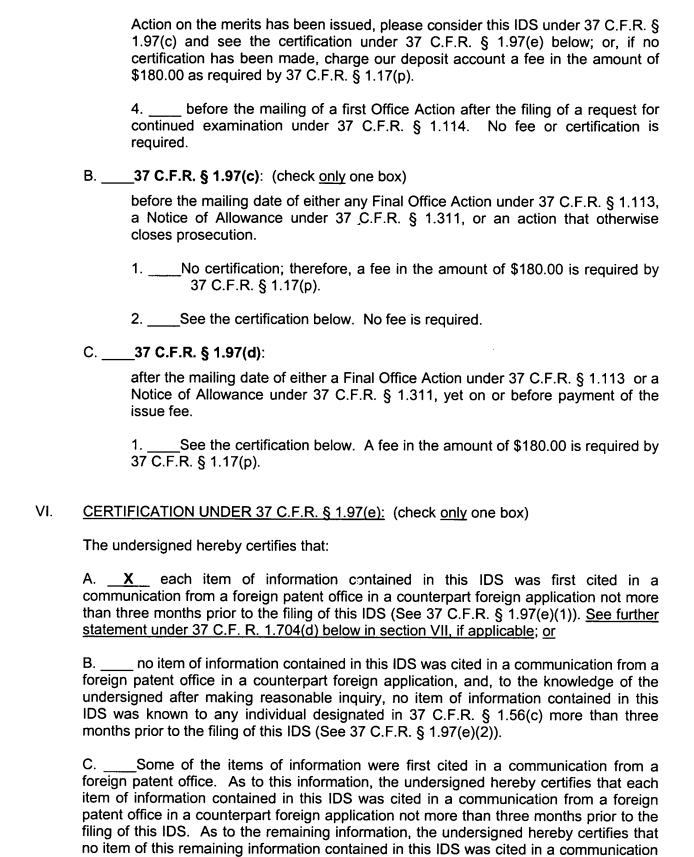
IV.

V.

Any foreign patent documents or non-patent literature listed on the attached Form 1449

Serial No. 10/695,534 Page 2

1.97(b)(3)). No fee or certification is required. In the event that a first Office



Serial No. 10/695,534 Page 3

from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

## VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

# VIII. PAYMENT OF FEES (check only one box)

- A. A check in the amount of \$180.00 is enclosed for the above-identified fee.
- B. \_\_\_\_Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

By:

Respectfully submitted,

Dated: May 17, 2004

HKM/rf HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600 H. Keith Miller, Esq. Reg. No. 22,484



FORM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET NO.	SERIAL NO.
4041J-000790	10/695,534
APPLICANT	
Hiroyuki TOMITA	
FILING DATE	GROUP
October 28, 2003	3749

U.S. P	U.S. PATENT DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		6,202,934	3/2001	Kamiya et al		
2.		2001/0039806	11/2001	Kawai et al		
				,		

FOREIGN PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes No

OTHE	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)					
Ref. Desig.	Examiner's Initials					
1.		Gt. Britain Search Report dated 19 March 2004 in corresponding Application No. GB 0324382.1				

Examiner:	Date Considered: